

10/500019 #2

109 Rec'd PCT/PTO 23 JUN 2004
Customer No. 22,852
Attorney Docket No. 08257.0004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Roberto AVALLONE et al.)
) Group Art Unit:
Application No.: Not Yet Assigned)
) Examiner:
Filed: June 23, 2004)
)
For: OPTICAL TRANSMISSION)
SYSTEM WITH RAMAN)
AMPLIFIERS COMPRISING A)
SUPERVISORY SYSTEM)

U.S. National Phase of International Application No. PCT/EP01/15332

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO-1449 and cited in the international search report. Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO-1449 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

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The following are listed on the accompanying PTO-1449 and are in a non-

English language:

1. German Application No. 100 10 237 A1

In lieu of a statement of relevance or the translation of this non-English document, enclosed is an English-language international search report from the European Patent Office in the PCT international application, from which this national phase U.S. application is derived, citing this document and setting forth the relevance thereof.

2. European Application No. 0 504 777 B1 - The relevance of this document is discussed at pages 1 and 2 of the specification of the present application and in the English language claims at columns 12-14 of the document.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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If there is any fee due in connection with the filing of this Statement, please
charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: June 23, 2004

By:


Ernest F. Chapman
Reg. No. 25,961

Enclosures
EFC/FPD/gah

INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

Atty. Docket No. 08257.0004	Application No. 10/500019 DT09 Rec'd PCT/PTO 23 JUN 2004
Applicant Roberto AVALLONE et al.	
Filing Date June 23, 2004	Group:

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date (Pub. Date)	Name	Class	Sub Class	Filing Date If Appropriate
	2001/0003486 A1	(06/14/2001)	Mikami			
	6,188,508 B1	02/13/2001	Horiuchi et al.			
	5,625,481	04/29/1997	Tamura et al.			
	6,111,687	08/29/2000	Tammela			

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
0 504 777 B1	09/23/1992	Europe			Claims only
0 669 730 A2	08/30/1995	Europe			
0 917 313 A2	05/19/1999	Europe			
100 10 237 A1	09/13/2001	Germany			No
2 314 224	12/17/1997	United Kingdom			
0 675 610 A1	10/04/1995	Europe			
0 751 635 A2	01/02/1997	Europe			
1 122 898 A1	08/08/2001	Europe			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Maeda et al.; "REMOTE SUPERVISORY SYSTEM OF FSA-WDM SYSTEM"; NTT Review, Vol. 12, No. 4, pages 25-31, (2000)
Agrawal; "NONLINEAR FIBER OPTICS"; Academic Press Inc., pages 317-319, (1995)

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce